

# QUANTUM BACKREACTION (CASIMIR) EFFECT WITHOUT INFINITIES ALGEBRAIC ANALYSIS

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- ▶ **Casimir effect**, in most general terms, is the **backreaction** of a quantum system responding to an adiabatic change of external conditions. This backreaction is expected to be quantitatively measured by a change in the expectation value of a certain **energy observable** of the system.
- ▶ However, for this concept to be applicable, the system has to **retain its identity** in the process. Most prevailing tendencies in the analysis of the effect seem to overlook this question.

- ▶ In general, a quantum theory is defined by an **algebra of observables**, whose representations by operators in a Hilbert space define concrete physical systems described by the theory. A quantum system retains its identity if both **the algebra as well as its representation do not change**.
- ▶ I shall discuss the resulting restrictions for admissible models of changing external conditions. These ideas are applied to quantum field models. No infinities arise, if the algebraic demands are respected.

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- ▶ **Q** – relatively simple quantum system (e.g. a quantum field)
- ▶ **M** – complex macroscopic system (say, conducting plates) with collective effective coordinates ***a***
- ▶ Full closed theory of Q-M out of reach
- ▶ **Approximation:**  
M is ‘heavy’ – characterized by very large inertia; thus:
- ▶ variables ***a*** are **classical**
- ▶ changes of ***a*** are **adiabatic**

# Isolated system Q (HP)

- ▶ Basic quantum variables at a fixed time form **an abstract algebra**  $\mathcal{A}$ , e.g. CCR algebra.
- ▶ Algebra is **represented by operators** in a Hilbert space  $\mathcal{H}$ :

$$\pi : \mathcal{A} \mapsto \pi(\mathcal{A}), \quad A \mapsto \pi(A);$$

Density operators in  $\mathcal{H}$  represent states of the system Q.

- ▶ Intrinsic dynamics of Q defined by an **automorphism of  $\mathcal{A}$** :

$$\alpha_t : \mathcal{A} \mapsto \mathcal{A}, \quad A \mapsto \alpha_t A$$

**implemented by a unitary evolution** in the Hilbert space  $\mathcal{H}$ :

$$\pi(\alpha_t A) = U(t)\pi(A)U(t)^*, \quad U(t) = \exp(itH),$$

where  $H$  – the **energy operator** of the system, with nonnegative spectrum and a ground state, represented by a unit eigenvector; energy may be normalized to be **zero** in that state.

- ▶ Add part  $M$  into the system: characterized by classical variables  $a$ ; no quantum degrees added.
- ▶ System  $Q$  should retain its identity:  
algebra  $\mathcal{A}$  must remain **unaffected**.
- ▶ States to be considered must be physically comparable:  
the **representation**  $\pi$  of  $\mathcal{A}$  must remain **unaffected**.

- ▶ Degrees **a frozen** – system Q still a **closed** system in interaction with conditions created by  $M$ ;  
for each  $a$  evolution: an automorphism of  $\mathcal{A}$ :

$$\alpha_{at} : \mathcal{A} \mapsto \mathcal{A}, \quad A \mapsto \alpha_{at}A.$$

- ▶ Evolution **implemented** in representation  $\pi$ : for each  $a$

$$\pi(\alpha_{at}A) = U_a(t)\pi(A)U_a(t)^*, \quad U_a(t) = \exp(itH_a).$$

- ▶ For each  $a$  the generator  $H_a$  defined by this up to:

$$H_a \rightarrow H_a + \lambda_a \text{id},$$

where  $\lambda_a$  is any real function of parameters  $a$ .



# Coupled system Q-M (SP)

- ▶ **Unitary evolution of Q in SP** (Q not closed – evolution on algebraic level: too restrictive).
- ▶ Suppose that  $a(t)$  is known as a ‘slow’ function of time (system  $M$  is ‘heavy’). **Adiabatic approximation** with initial ( $t = 0$ ) eigenstate of  $H_{a(0)}$ :

$$\psi(t) = e^{i\varphi(t)}\psi_{a(t)},$$

where  $H_a\psi_a = E_a\psi_a$  and  $\varphi(t)$  is a real function depending functionally on  $E_a$  and  $\psi_a$ .

- ▶ **Evolution of expectation value** of an observable  $B$  given by

$$\langle B \rangle_t = (\psi_{a(t)}, B\psi_{a(t)}),$$

## Backreaction – determination of $a(t)$

- ▶ **Intrinsic energy stored in part  $Q$  of the system represented by  $H$** , which in the coupled system is not a constant of motion any more; its expectation value

$$\mathcal{E}_a := (\psi_a, H \psi_a),$$

depends on time through variables  $a$ .

- ▶ Changes in  $\mathcal{E}_a$  correspond to the energy which has been transferred from  $Q$  to the rest of the system, which (with the suppression of all microscopic details of  $M$ ) is described by the variables  $a$ . Thus  $\mathcal{E}_a$  **plays the role of a potential energy** with respect to these variables. We assume that the rest of the total energy of the coupled system is supplied by the kinetic energy of  $M$ , thus we obtain a potential system, with the generalized force given by

$$\mathcal{F}_a = -\frac{\partial \mathcal{E}_a}{\partial a}.$$

# Classical system

- ▶ **Symplectic space** (phase space):

$\mathcal{L} \subset \mathcal{R} \oplus \mathcal{R} \ni V \equiv (v \oplus u)$ ,  $\mathcal{R}$  – real Hilbert space  
**symplectic form**

$$\sigma(V_1, V_2) = (v_2, u_1) - (v_1, u_2)$$

- ▶ **Hamiltonian** function

$$\mathcal{H}(v, u) = \frac{1}{2}[(u, u) + (hv, hv)],$$

$h$  – positive selfadjoint operator on  $\mathcal{R}$ ,

- ▶ **Symplectic evolution**

$$T_t(v \oplus u) = (\cos(ht)v + \sin(ht)h^{-1}u) \oplus (-\sin(ht)hv + \cos(ht)u).$$

- ▶ Denote

$$V'(V) = (v', u) + (u', v),$$

then

$$(T_t V')(V) = V'(T_t V).$$

- ▶ **'Quantization'**:  $V'(V) \rightarrow \Phi(V)$  – algebraic elements satisfying CCR

$$[\Phi(V_1), \Phi(V_2)] = i\sigma(V_1, V_2) \text{id}, \quad V \in \mathcal{L}$$

**evolution**

$$\alpha_t(\Phi(V)) = \Phi(T_t V)$$

- ▶ **Vacuum representation**  $\Phi(V) \rightarrow \Phi_0(V)$  – operators in a Fock space; rep. defined by demands:

$$\Phi_0(T_t V) = U(t)\Phi_0(V)U^*(t), \quad U(t) = \exp(itH).$$

$H$  – **positive**, with **ground state** vector  $\Omega$

- ▶ **Free massless scalar field** – initial value formulation:

$$\mathcal{R} = L^2_{\mathbb{R}}(\mathbb{R}^3), \quad h = \sqrt{-\Delta}, \quad \mathcal{L} = \mathcal{D}_{\mathbb{R}}(\mathbb{R}^3) \oplus \mathcal{D}_{\mathbb{R}}(\mathbb{R}^3)$$

- ▶ **Scalar field with boundary conditions** on surfaces coordinated by parameters  $a$ :

$$\mathcal{R} = L^2_{\mathbb{R}}(\mathbb{R}^3), \quad [h_a^B]^2 = -\Delta_{\text{b.c.}}, \quad \mathcal{L}_a^B = \mathcal{D}_{\mathcal{R}}(h_a^B) \oplus \mathcal{D}_{\mathcal{R}}([h_a^B]^{-1/2})$$

- ▶ **'Momentum'-regularized boundary conditions:**

$h_a = f(h, h_a^B)$ , such that  $h_a \simeq h_a^B$  for small momentum transfer, and  $h_a \simeq h$  for large momentum transfer

- ▶ **Scalar field with external static interaction** depending on parameters  $a$ :  $h_a^2 = -\Delta + V_a$ ,  $V_a$  – perturbation

► Algebra CCR

$$[\Phi(V_1), \Phi(V_2)] = i\sigma(V_1, V_2) \text{id}, \quad V \in \mathcal{L}_a$$

evolution

$$\alpha_{at}(\Phi(V)) = \Phi(T_{at}V)$$

where  $T_{at}$  is defined by  $h_a$

- **Ground state representation**  $\Phi(V) \rightarrow \Phi_a(V)$  – operators in a Fock space; rep. defined by demands:

$$\Phi_a(T_t V) = U_a(t)\Phi_a(V)U_a^*(t), \quad U_a(t) = \exp(itH_a).$$

$H_a$  – **positive**, with **ground state** vector  $\Omega_a$

- ▶ **Stability of algebras:**  $\mathcal{L} = \mathcal{L}_a$   
**Not satisfied in the sharp boundary conditions case!**  
(and no way to satisfy the condition by any extension of symplectic spaces)
- ▶ **Relation between representations:** when stability of algebras is ensured then annihilation/creation operators of representations determined by  $h$  and  $h_a$  are related by a Bogoljubov transformation

$$a_a(f) = a(T_a f) + a^*(S_a f), \quad a_a^*(f) = a^*(T_a f) + a(S_a f)$$

with  $T_a$  linear and  $S_a$  antilinear, determined by  $h$  and  $h_a$ .

- ▶ Representations are equivalent iff

$$\mathcal{N}_a \equiv \text{Tr}[\mathbf{S}_a \mathbf{S}_a^*] = \frac{1}{4} \text{Tr}[h^{-1/2}(h_a - h)h_a^{-1}(h_a - h)h^{-1/2}] < \infty$$

Then  $\mathcal{N}_a = (\Omega_a, N\Omega_a)$ ,  $N$  – particle (or ‘excitation’) number

- ▶ Casimir energy for ground state

$$\mathcal{E}_a = (\Omega_a, H\Omega_a) = \frac{1}{4} \text{Tr}[(h_a - h)h_a^{-1}(h_a - h)]$$

If this happened to be infinite, this would have a perfectly legitimate **physical** meaning: creation of  $\Omega_a$ , although theoretically possible, needs infinite amount of energy.



▶  $\mathcal{R} = L^2_{\mathbb{R}}(\mathbb{R}^2) \otimes L^2_{\mathbb{R}}(\mathbb{R}),$

$$h^2 = (h_{\perp} \otimes \text{id})^2 + (\text{id} \otimes h_z)^2, \quad h_a^2 = (h_{\perp} \otimes \text{id})^2 + (\text{id} \otimes h_{za})^2$$

$$h_{\perp}^2 = -\Delta_{\perp}, \quad h_z^2 = -\partial_z^2$$

- ▶  $h_{za}$  will model **parallel planes** at a distance  $a$
- ▶  $\mathcal{N}_a$  and  $\mathcal{E}_a$  must be normalized to **quantities per unit area** of planes,  $n_a$  and  $\varepsilon_a$  resp.

- ▶ 1. Transparency to large momenta:

$$h_{za} = h_z + G(h_z) [F(h_{za}^B) - F(h_z)] G(h_z),$$

$h_{za}^B$  – sharp Dirichlet or Neumann bound. cond. at points separated by  $a$ ,

$$F, G(p) \rightarrow 0 \quad (p \rightarrow \infty), \quad F(p) = p, \quad G(p) = 1 \quad (p \leq p_0)$$

- ▶ 2. Nonlocality control:

$$h_{za}^2 = h_z^2 + V_a, \quad V_a(z, z') = g(z-b)\overline{g(z'-b)} + g(z+b)\overline{g(z'+b)}$$

$g$  of compact support, even (D) or odd (N) ( $b = a/2$ )

- ▶ Both classes of models meet the admissibility criteria, so the Casimir energy per area  $\varepsilon_a$  is well defined and finite for all  $a$

- ▶ For each model – a one parameter ( $\lambda \in (0, 1)$ ) family of **rescaled models**, such that **for fixed  $a$  and  $\lambda \rightarrow 0$  the sharp boundary conditions are recovered**
- ▶ The Casimir energy per area scales:

$$\epsilon_{a,\lambda} = \lambda^{-3} \epsilon_{a/\lambda}$$

Thus to find scaling behavior of  $\epsilon_{a,\lambda}$  for scaled models:  
**expand  $\epsilon_a$  in powers of  $1/a$  up to third order**

- ▶ **Casimir energy  $\epsilon_a$**  given by a complex integral expression depending functionally on functions defining the models and on  $a$
- ▶ **Expansion**

$$\epsilon_a = \epsilon_\infty + \frac{\gamma}{a^2} - \frac{\pi^2}{1440a^3} + \text{higher terms}$$

$\epsilon_\infty$  energy needed to produce field configuration around two independent plates

$\gamma = 0$  for Dirichlet case, and

**model dependent for Neumann case**

**– contribution from inside the walls**

**$a^{-3}$ -term** – universal for large class of models

- ▶ Models for **conducting plates and electromagnetic field** – sum of the D and N terms

## Summary and outlook

- ▶ Proper formulation of the problem **removes** the usual sources of **infinities**
- ▶ Casimir energy defined as expectation value of **one and the same observable** for all modifications of external conditions in question
- ▶ Models considered very simplified, but showing the strength of the formulation
- ▶ Large area for **further research**: more refined models of external bodies, thermal states, different geometries,...

## Bibliography

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